

07-25-01

A

Attorney Docket TRNSV-015G

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: Makower, et al.  | )                            |
|--|------------------------------|
|  | ) Art Unit: To Be Determined |
| Serial No.: To Be Determined           | )                            |
|  | ) Examiner: To Be Determined |
| Filed: Herewith, July 24, 2001         | )                            |
|  | )                            |
| For: Catheters and Related Devices For | )                            |
| Forming Passageways Between Blood      | )                            |
| Vessels or Other Anatomical Structures | _)                           |
|  |                              |

# J1046 09/

## Transmittal of a Divisional Utility Patent Application

Express Mail mailing label no. EL921452204US

Box Patent Application Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is divisional utility patent application for "Catheters and Related Devices For Forming Passageways Between Blood Vessels or Other Anatomical Structures."

- [X] The applicant(s) hereby request(s) the filing of the divisional patent application under 37 CFR §1.60, corresponding to co-pending application Serial No. 08/837,294.
- [X] True copies of the following are enclosed:
  - [X] the prior application as filed, including all sixty-four (64) pages of the specification (including claims 1-42), an abstract of one (1) page, and all twelve (12) sheets of formal drawings; and
  - [X] the oath or declaration filed in the parent application, showing the signatures or an indication it was signed; and,

- [X] Please amend the specification after the granting of a filing date herein by inserting after the title, the heading --Related Applications--, and a paragraph under the heading "Related Application": --This patent application is a divisional of application Serial No. 08/730,327 filed October 11, 1996, Serial No. 08/730,496 and 08/837,294 filed April 11, 1997.--
- [X] Kindly enter in this continuational/divisional application the following preliminary amendment, prior to calculation of the required filing fee:

#### IN THE CLAIMS:

Please cancel claims 12-43, without prejudice.

[X] Check No. 2313 covering the filing fee in the amount of \$ 355.00 accompanies this application, calculated as follows:

|                   |                      |           |              |       |    | Other        | than a |
|-------------------|----------------------|-----------|--------------|-------|----|--------------|--------|
|                   | (Col. 1)             | (Col. 2)  | Small entity |       |    | Small entity |        |
| For               | No. Filed            | No. extra | Rate         | Fee   | Or | Rate         | Fee    |
| Basic fee         |                      |           |              | \$355 | Or |              | \$710  |
| Total claims      | <b>20</b> - 20       | = 0       | x 9          | \$ 0  | Or | x 18         | \$     |
| Indep claims      | 1 - 3                | = 0       | x 40         | \$ 0  | Or | x 80         | \$     |
| _ Multiple depend | ent claims presented | l N/A     | + 135        | \$ 0  | Or | + 270        | \$     |
|                   |                      |           | Total        | \$355 | Or | Total        | \$     |

- [X] An Assignment of the invention to TransVascular, Inc. was previously executed and is recorded in the United States Patent Office at Reel 9327/Frames 0259.
- A Certificate of Ownership under 37 CFR 3.73 (b) and Power of Attorney.
- [X] Formal drawings are enclosed.
- [X] The undersigned is the Applicant's attorney of record in the prior application, and all future communications should be addressed to the undersigned.
- [X] Applicant is entitled to Small Entity Status
- [X] An information disclosure statement was filed in relation to the parent case.

A check in payment of the required small entity filing fee and a signed Power of Attorney will be submitted in response to a Notice to File Missing Parts (Filing Date Granted), which should be mailed to applicant's undersigned counsel at the address shown:

Respectfully submitted,

STOUT, UXA, BUYAN & MULLINS, LLP

Date: July 24, 2001

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### CERTIFICATE OF MAILING

I hereby certify that this Transmittal letter, the above-identified documents and papers, and a return postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on July 24, 2001 and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Dated: July 24, 2001

Francine Sanders, Assistant